SmartX
STRATEGIES

For Direct Equity and Derivatives

Designed and Curated for Serious Investors Who Prefer Structure Over Speculation

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Most Investors Don't Lose Money

They Lose Control!

The Real Challenges Investors Face

- Market volatility creates uncertainty and short-term anxiety
- Emotional decisions during market swings impact long-term results
- Unclear outcomes and mismatched expectations
- Lack of alignment between investments and financial goals
- Difficulty staying disciplined through market cycles

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If This Feels Familiar,

You're Not Alone

Fear of losing capital during periods of volatility

Difficulty staying invested during market corrections

Doubt despite long-term investment intentions

Decisions influenced by emotions rather than strategy

The Problem Isn’t the Market.
It’s the Lack of Structure.

What usually goes wrong

- Portfolios are product-driven
- Not aligned with investor purpose
- Decisions change with market noise
- Purpose is not well defined
- Falling prey to greed and fear
- Booking unnecessary stop losses even if the fundamentals of the company are intact
- Lack of knowledge

Key Insight:
Unstructured portfolios often lead to emotional behavior.

That’s Why We Built Five-
SmartX Investment Frameworks

Our Philosophy

- Different investor problems require different solutions
- One portfolio cannot serve all objectives
- Execution remains proprietary

Five Investor Objectives.
Five SmartX Frameworks.

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SmartX Framework	Iconography	Purpose	Min. Investment Size	Ideally Suited for
Vision		For Goal Achievement	SIP Rs. 10,000/- per Month	Goal-based investors (education, home, retirement planning)
Growth compounding		Capital Growth	Lumpsum Rs. 3,00,000/-	Working professionals, long-term investors, SIP & lump-sum allocators
Passive Income		Generate regular income	Lumpsum Rs. 10,00,000/-	Retirees, income-dependent investors, mid to conservative risk profile
BFSI Sectorial		Sector-specific Aggressive Growth	Lumpsum Rs. 10,00,000/-	High-risk appetite investors, sector believers, experienced allocators
Tactical Derivatives		Generate higher returns	Lumpsum Rs. 5,00,000/-	Those wanting to use hedging strategies for earning extra returns

STRATEGY BENEFIT COMPARISON

SMARTX FRAMEWORK	IDEAL TENURE	DEDICATED RM	VOLATILITY	MEMBERSHIP TYPE	PORTFOLIO REVIEW FREQUENCY	FINANCIAL PLANNING	ACCESS TO DEEPER MARKET UPDATES, IDEAS AND SUGGESTIONS
VISION	Depends upon the goal	✓	**Low**	Essential	HALF YEARLY	✗	✗
GROWTH COMPOUNDING	3 YEARS +	✓	**Low to Moderate**	Signature	QUARTERLY	✗	✗
PASSIVE INCOME	3 - 5 YEARS	✓	**Low**	Elite	QUARTERLY	✓	✓
BFSI SECTORIAL	3 - 5 YEARS	✓	**Very high**	Elite	QUARTERLY / MONTHLY	✓	✓
TACTICAL DERIVATIVES	3 - 5 YEARS	✓	**High**	Elite	QUARTERLY / MONTHLY	✓	✓

Onboarding Steps

01

Book your One-on-One session

Schedule your exclusive consultation with our financial experts to kickstart your investment journey

02

Assess your Goals & Risk Appetite

We will analyze your financial goals, investment requirements & risk tolerance to tailor a perfect plan for you

03

Choosing your Ideal Portfolio Strategy

Based on our comprehensive assessment, you can select the ideal portfolio strategy that perfectlhy aligns with your objectives

04

Open you Demat Account

Our dedicated team will guide you through the simple and quick process of opening your personal demat account with ease

05

Map Funds & Start Investing

Once your account is set up, simply map your funds and begin your automated and intelligent wealth creation with us

06

Quartery Review

We conduct quarterly reviews to evaluate portfolio performance and ensure ongoing alignment with client objectives. During these reviews, we advise on averaging opportunities or lump-sum investments when valuations appear attractive and rebalance portfolios wherever required.

FEES & FAQS

TRANSPARENT CHARGES

We follow a simple and transparent pricing model:

Fixed Brokerage Only
We charge a fixed brokerage fee per transaction as per the plan selected. (0.5% on Delivery, 0.05% on intraday & Futures)

Portfolio Management Fees
We do not charge any portfolio management or advisory fees.

No Profit Sharing
100% of the profit belongs to you. We do not take any percentage of your gains.

No Hidden Charges
There are no setup, maintenance, or exit fees of any kind.

FAQS

1. Who holds my funds and securities?
Your funds and securities remain completely in your own demat and trading account. We never take custody of your capital.

2. Is there any lock-in period?
No. There is no lock-in period. You can stop the strategy or withdraw funds at any time.

3. How does the strategy operate?
The strategy runs directly in your own account through secure, authorized API access. You retain full control over your account at all times.

4. Are there any profit-sharing arrangements?
No. All profits and losses belong entirely to the client. We only charge a fixed brokerage.

5. Are there any hidden or performance-linked fees?
None at all. Our fee model is transparent and fixed.

6. Can I monitor my positions in real-time?
Yes. Since all trades are executed in your own demat/trading account, you can monitor them live through the broker's platform.

IMPORTANT NOTE TO THE INVESTOR

Dear Investor,

Investing is a journey of patience, discipline, and continuous learning — not a pursuit of quick profits. Successful investors build sustainable wealth through informed decisions, strategic planning, and emotional balance.

- **Adopt a long-term mindset**. Focus on consistent, well-researched investments that align with your financial goals and risk profile.
- **Understand your products thoroughly**. Before investing or trading, ensure you are fully aware of the instruments and strategies being used.
- **Margin awareness.** Our strategies are designed to minimize the need for margin calls. However, in extreme market conditions, investors are advised to maintain adequate margin levels as a precaution to safeguard their positions.
- **Maintain discipline.** Set realistic goals, diversify wisely, and review your portfolio regularly. Long-term consistency often outperforms short-term speculation.
- **Manage emotions**. Market volatility is natural — patience, composure, and rational thinking are key to steady progress.
- **Balance opportunity and risk**. Evaluate your investment horizon, liquidity needs, and personal risk tolerance before entering any position.
- **We guide, not guarantee. PBA Capital — the brand of Pyramid Brokers and Associates LLP — does not offer assured or guaranteed returns.** Our role is to provide investors with strategic insights, structured solutions, and data-driven guidance to support informed decision-making.

Disclaimer

- Investments in securities and financial markets are subject to market risks, including potential loss of principal.
- While our strategies are structured to minimize margin calls, extreme market scenarios may require additional margins. Investors should ensure they understand their responsibilities.
- Past performance is not indicative of future results.
- PBA Capital, operating under Pyramid Brokers and Associates LLP, is a registered brokerage firm. We do not assure or guarantee any fixed or risk-free returns.
- Investors are advised to read all related product and disclosure documents carefully and consult qualified financial professionals before investing.

At PBA Capital, our mission is to help you invest with clarity, confidence, and discipline. Invest smarter. Grow faster. Unlock the future of intelligent investing.

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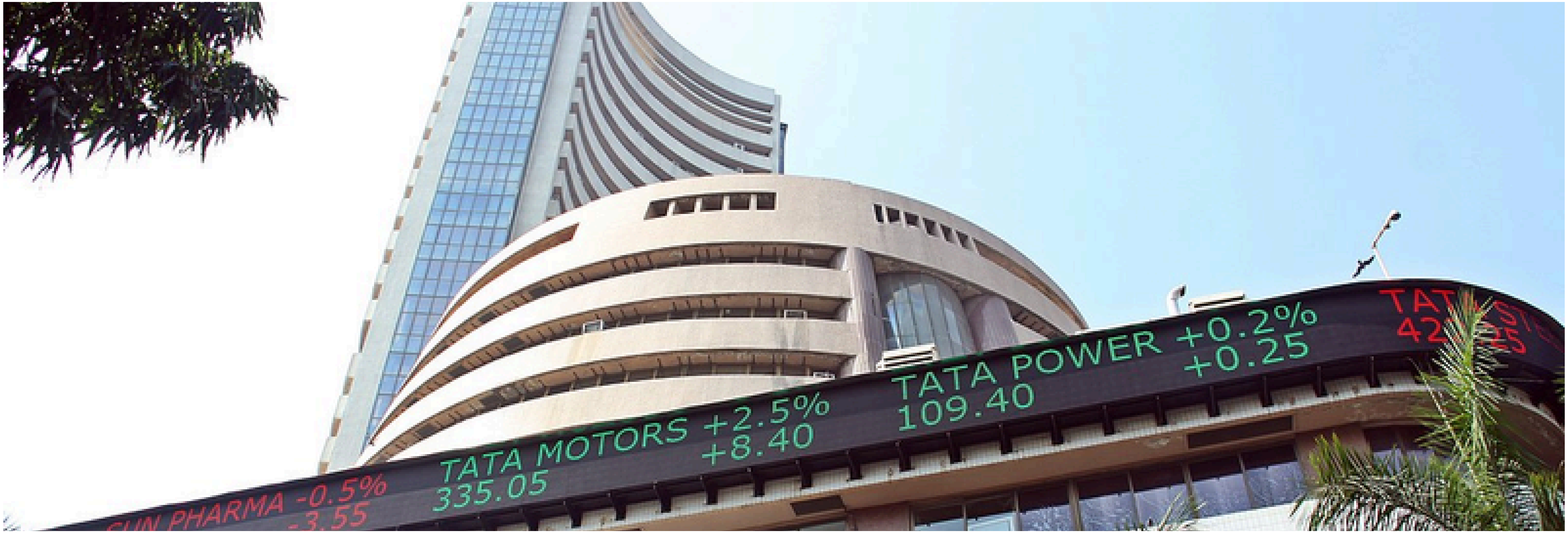

Unlock the future of Intelligent Investing!

Invest smarter. Grow faster.

PBA Capital
First Step to Grow

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